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Abnormal Interhemispheric Connectivity in Neonates with D-Transposition of the Great Arteries Undergoing Cardiopulmonary Bypass Surgery

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ABSTRACT

BACKGROUND AND PURPOSE: Neonates with severe CHD require CPB within the first days of life. White matter injury can occur before surgery, and this may impair the long-term neurodevelopmental and psychosocial outcome. The purpose of this study was to assess the microstructural development of the CC in infants with CHD before and after CPB for transposition of the great arteries.

MATERIALS AND METHODS: Fifteen patients with CHD and 11 age-matched HC were recruited. We separately quantified the parallel (E_{23}) and perpendicular (E_{23}) diffusions, the ADC, and FA of the genu of the CC and splenium of the CC before and after surgery.

RESULTS: In presurgical measures of the genu of the CC, higher E_{23} ($P = .018$), higher ADC ($P = .026$), and lower FA ($P = .033$) values were measured compared with those in HC. In the postsurgery scans, the genu of the CC had higher E_{23} ($P = .013$), higher ADC ($P = .012$), and lower FA ($P = .033$) values compared with those in HC. There was no significant difference in any DTI indices between the pre- and postsurgical groups.

CONCLUSIONS: We report abnormal microstructural development in the genu of the CC of infants with d-TGA before and after CPB. High E_{23} , high ADC, and low FA values in the genu of the CC may be explained by abnormal axonal pruning, thinner myelin sheaths, smaller axonal diameters, or more oligodendrocytes. It appears that the genu of the CC is more vulnerable than the splenium of the CC in patients with CHD and may serve as a biomarker to identify infants at highest risk for adverse neurodevelopmental outcome.

ABBREVIATIONS: CC = corpus callosum; CHD = congenital heart disease; CPB = cardiopulmonary bypass surgery; d-TGA = dextrotransposition of the great artery; FA = fractional anisotropy; HC = healthy controls

The CC is the largest mass of the WM tracts that crosses the 2 cerebral hemispheres connecting bilaterally most of the cortical areas of the human brain. The primary role of the transcallosal fibers is to provide a functional and anatomic interhemispheric connection with defined areas containing fibers that serve cognitive, motor, speech, and vision functions.¹⁻³ The basic structure of the CC is completed by 18–20 weeks' gestation but continues to increase in size during the third trimester⁴ and grows dramatically from anterior to posterior during the first 2 postnatal years,⁵ while preoligodendrocytes are thought to appear at the extremities (eg, the genu and splenium).⁶ Previous studies re-

ported that the morphology of the CC is influenced by cortical asymmetry,⁷ forebrain volume,⁸ and functional lateralization.⁹ Patients with severe CHD require cardiopulmonary bypass surgery within the neonatal period. However, long-term neurodevelopmental and psychosocial outcome may be impaired.^{10,11}

White matter injury and delayed intrauterine brain maturation may also play an important role in an adverse outcome.^{12,13} More than half of neonates with severe forms of CHD already manifest neurobehavioral and neurologic abnormalities such as muscular hypotonia or poor feeding before surgery.¹⁴ Motor deficits may occur in up to 40% of children after CPB, and intellectual and neuromotor impairments might manifest in adolescence.¹⁵ Recent MR imaging studies showed that in more than one-third of neonates with CHD, brain injury was detected already preoperatively; and in one-third of the neonates, acquired brain injuries occurred during or shortly after cardiac surgery.^{16,12} However, little is known of the characterization and development of the CC around the time of birth for full-term neonates with CHD in relation to surgical procedures.

DTI is an MR imaging technique used to characterize the microstructure of large WM tracts in physiologic and pathologic

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Table 1: Characteristics of the 15 patients who had both pre- and postoperative MRI, including DTI, and 11 healthy controls

	Patients (n = 15)					Healthy Controls (n = 11)				
	Mean	SD	Min	Max	Med	Mean	SD	Min	Max	Med
Gestational age (wk)	39	1	37	41	39	39	1	38	41	40
Birth weight (g)	3381	452	2560	3990	3340	3466	417	3000	4160	3500
From birth to 1st MRI (days)	8	6	3	27	8	26	5	15	32	26
From birth to 2nd MRI (days)	29	9	16	52	27					
From 1st to 2nd MRI (days)	21	8	11	42	20					
From surgery to 2nd MRI (days)	14	6	7	28	12					

Note:—Min indicates minimum; Max, maximum; Med, median.

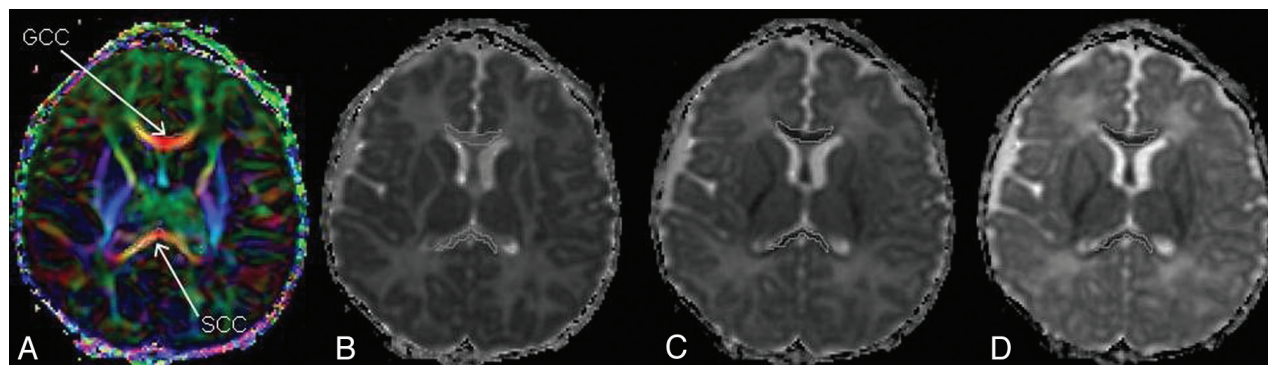


FIG 1. Direction-encoded color map (A) showing the genu of the CC and splenium of the CC in red, meaning that the fibers are left-right oriented (or vice versa). The ROIs are reproduced on the main eigenvalue, E_1 (B); the middle eigenvalue, E_2 (C); and the minor eigenvalue, E_3 , maps (D).

conditions and provides complex measures that reflect various microstructural properties of the WM, including axon diameter, myelination, and fiber attenuation.¹⁷ Microstructural changes in the CC have been described with DTI studies in premature infants,¹⁸ extremely preterm infants,¹⁹ very-low-birth-weight adolescents,²⁰ and preterm born young adults.²¹

The overall aims of this study were to prospectively investigate WM microstructural development in the interhemispheric brain connectivity in neonates with d-TGA before and after CPB. We also aimed to assess WM growth of neonates with d-TGA by comparing it with that in age-matched healthy controls. We hypothesized that neonates with CHD would have delayed myelin maturation compared with age-matched healthy controls in early myelinated WM tracts such as the splenium and genu of the CC.

MATERIALS AND METHODS

Subjects

Twenty-eight neonates with confirmed d-TGA were prospectively enrolled between December 2009 and October 2011. Fifteen patients (10 males) consecutively underwent both pre- and postoperative successful DTI examinations. Mean postconceptional age was 39 weeks (ranging from 37 to 41 weeks). Preoperative MR imaging was performed on average 8 ± 6 days after birth. The postsurgical MR imaging was performed on average 14 days after CPB (ranging from 7 to 28 days) and 21 ± 8 days following the first MR imaging (Table 1). Eleven healthy term neonates (5 males) were recruited and were examined with the same DTI sequence to serve as HC (Table 1). The institutional ethics committee approved this study, and written informed consent was obtained from guardians.

MR Imaging Acquisition and Analysis

The MR imaging studies were performed on a 3T scanner by using the following: 1) volumetric T1WI with a 3D magnetization-prepared spoiled gradient-echo sequence (TR/TE/TI = 7.9/3.0/450 ms, flip angle = 20°, FOV = 25.6 cm², matrix = 256 × 256, parallel imaging, thickness = 2/−1 mm gap); 2) T2-weighted fast spin-echo series (NEX = 2, thickness = 2.5/0.2 mm gap, FOV = 18 cm × 75%), matrix = 512 × 320 for the axial plane and 384 × 320 for the coronal plane; 3) 2 single-voxel MR spectroscopy examinations, 1 in the basal ganglia and 1 in the parietal WM (TR/TE = 3000/35 ms, NEX = 8, thickness = 17 mm²); and 4) DTI consisting of double refocusing pulses and 1 image volume with no diffusion weighting ($b=0$ s/mm²), followed by 35 gradient-direction acquisitions ($b=700$ s/mm²). The matrix was 128 × 128 (reconstructed to 256 × 256), FOV = 22 cm², and TR/TE = 9000/90 ms.

The genu of the CC and splenium of the CC were chosen because they represent, respectively, the anterior and posterior parts of the interhemispheric connectivity. Two observers processed the images; 1 was blinded to the acquisition and repeated the processing to compare inter- and intraobserver variability. The Pearson test was used to check the correlation of the 3 measures. Polygonal regions of interest were manually drawn at the edge of each structure on the directionally color-encoded maps (Fig 1), and care was taken to avoid partial voluming effects. Each region of interest was automatically copied and pasted on the E_1 , E_2 , and E_3 maps. Previous DTI evidence demonstrated that derived parallel and perpendicular diffusivities can detect and separate axonal from myelin damage^{22–24}; thus, we separately measured the 3 eigenvalues, E_1 , E_2 , and E_3 . Subsequently, values of parallel diffusion (E_1), perpendicular diffusion [$E_{23} = (E_2 + E_3)/2$], ADC, and FA were then calculated on each region of interest.

Table 2: Percentage changes corrected for age in the GCC and SCC measured among Post, Pre, and HC groups

	GCC				SCC			
	E_1 (%)	E_{23} (%)	ADC (%)	FA (%)	E_1 (%)	E_{23} (%)	ADC (%)	FA (%)
Pre vs HC	1.68	10.94	5.79	-8.20	-0.84	13.93	5.30	-10.48
Post vs HC	4.93	17.54	10.35	-9.40	0.60	13.98	6.18	-9.58
Pre vs Post	2.35	8.79	5.28	-6.33	-1.03	5.69	1.94	-6.26

Note:—Pre indicates presurgery; Post, postsurgery; GCC, genu of the CC; SCC, splenium of the CC.

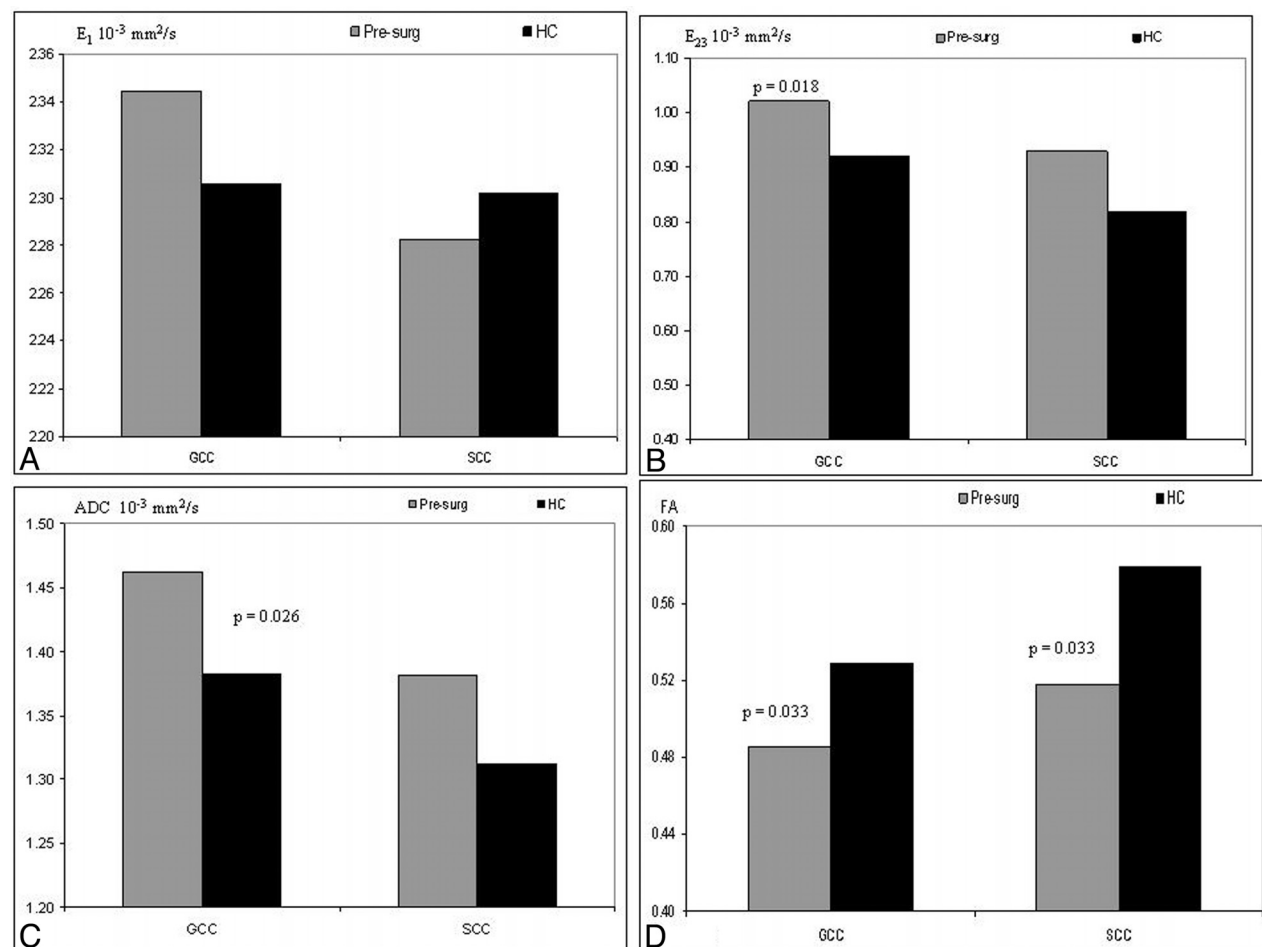


FIG 2. Estimated marginal means corrected for postconceptional age of parallel diffusion (A), perpendicular diffusion (B), apparent diffusion coefficient (C), and fractional anisotropy (D) of the HC and presurgical (presurg) groups. The DTI of the genu of the CC in the presurgical patients indicates a significantly higher E_{23} ($P = .018$), higher ADC ($P = .026$), and lower FA ($P = .033$). In the splenium of the CC, we measured significantly lower FA ($P = .033$) in the presurg groups compared with the HC.

The between-group statistical comparison (presurgery, postsurgery, healthy controls) was performed separately for each structure by using a general linear model multiple analysis of covariance (Statistical Package for the Social Sciences, Version 14.0; SPSS, Chicago, Illinois). Group differences with $P < .05$ were considered significant. Postconceptional age at MR imaging of the subjects was included as a covariate because of age-related increases in FA and decreases in ADC.^{25,26}

RESULTS

Intra- and interobserver correlations of E_1 were, respectively, 0.947 and 0.915 for the genu of the CC and 0.801 and 0.867 for splenium of the CC. MR spectroscopy findings were abnormal in all patients, with elevated lactate in the WM and basal ganglia and decreased *N*-acetylaspartate values.

In the genu of the CC, comparison between the presurgery CHD group and the HC group revealed higher values in E_1 and E_{23} , leading to higher ADC values and lower FA values for the CHD group. Postsurgical DTI indices of patients with CHD compared with HC showed a similar pattern with increased values in E_1 , E_{23} , and ADC and a decrease in FA compared with HC. Although there were approximately 21 days between the pre- and postsurgical MR imaging in the CHD group, differences between pre- and postsurgery DTI measures showed a similar trend with an increase in E_1 , E_{23} , and ADC and a decrease in FA with time.

In the splenium of the CC, preoperative age-corrected differences in the DTI metrics showed increased values in both E_{23} (13.93%) and ADC (5.3%) and a decreased value in FA

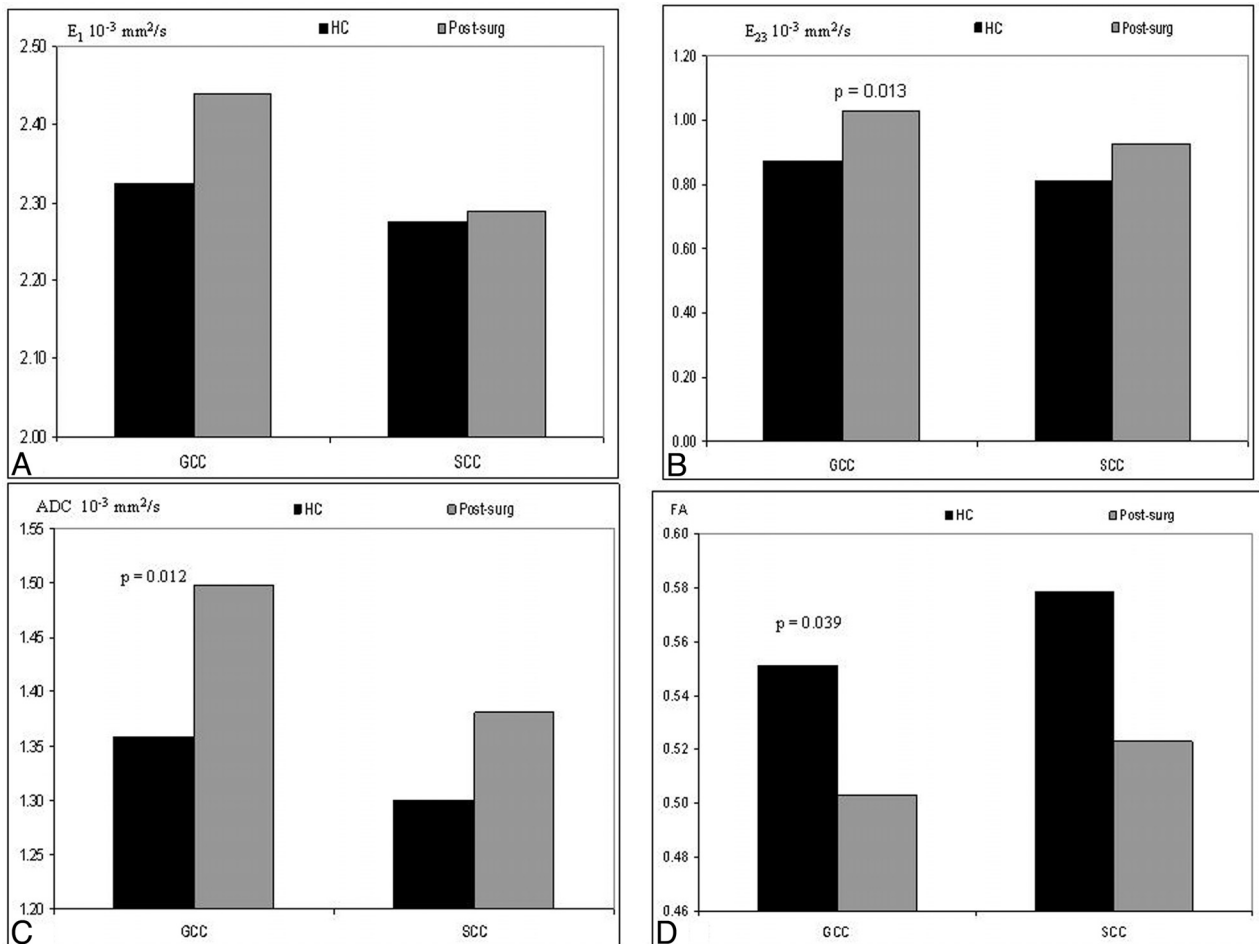


FIG 3. Estimated marginal means corrected for postconceptional age of parallel diffusion, E_1 (A); perpendicular diffusion, E_{23} (B); ADC (C); and FA (D) of the HC and postsurgical (postsurg) groups. The DTI in the genu of the CC in the postsurg group indicated a significantly higher E_{23} ($P = .018$), higher ADC ($P = .026$), and lower FA ($P = .033$) than those in the HC group. No differences were reported in the splenium of the CC.

(−10.48%) compared with the HC group (Table 2). Similarly, we measured positive differences between the postsurgery and HC groups (corrected for age) in E_{23} (13.98%) and ADC (6.18%) and negative difference in FA (−9.58%). We also reported increases in both E_{23} (5.69%) and ADC (1.94%) and a decrease in FA (−6.26%) between the presurgical and postsurgical examinations (Table 2). General linear model analysis controlling for gestational age revealed significant differences in E_{23} (genu of the CC: $P = .01$; splenium of the CC: $P = .026$), ADC (genu of the CC: $P = .002$; splenium of the CC: $P = .05$), and FA (genu of the CC: $P = .006$; splenium of the CC: $P = .021$).

Presurgery versus HC. Post hoc analysis between the HC and the presurgery CHD group measures in the genu (Fig 2) demonstrated significantly lower E_{23} ($P = .018$), higher ADC ($P = .026$), and lower FA ($P = .033$). There was no significant difference in the parallel diffusion between these 2 groups. In the splenium of the CC, the presurgery group had a significantly lower FA ($P = .033$) compared with HC, and all other indices were not significantly different.

Postsurgery versus HC. With regard to the postsurgery group measures, the post hoc analysis in the genu of the CC indicated a significantly lower E_{23} ($P = .013$), higher ADC ($P = .012$), and

lower FA ($P = .039$) compared with the HC group (Fig 3). In the splenium of the CC, we did not measure any significant difference between these 2 groups.

Postsurgery versus Presurgery. We observed an abnormal increase in both E_{23} and ADC with a simultaneous decrease in FA in both the genu of the CC and splenium of the CC (Fig 4). With regard to E_1 , there was a nonsignificant increase in the genu of the CC and a nonsignificant decrease in the splenium of the CC.

DISCUSSION

The main findings were delayed WM maturation in the genu of the CC of neonates with d-TGA both before and after surgery compared with healthy control neonates. In the genu of the CC of the presurgical examinations, we measured higher E_{23} and ADC and lower FA compared with the HC group. Similarly, the postsurgery patients demonstrated higher E_{23} and ADC and lower FA compared with the HC group. Furthermore, the genu of the CC was more affected with higher E_{23} and ADC compared with the splenium of the CC in both the presurgical and postsurgical measures. We also observed that between pre- and postsurgical measures of these neonates, there was an abnormal increase in both E_{23} and ADC, with a simultaneous nonsignificant decrease in FA

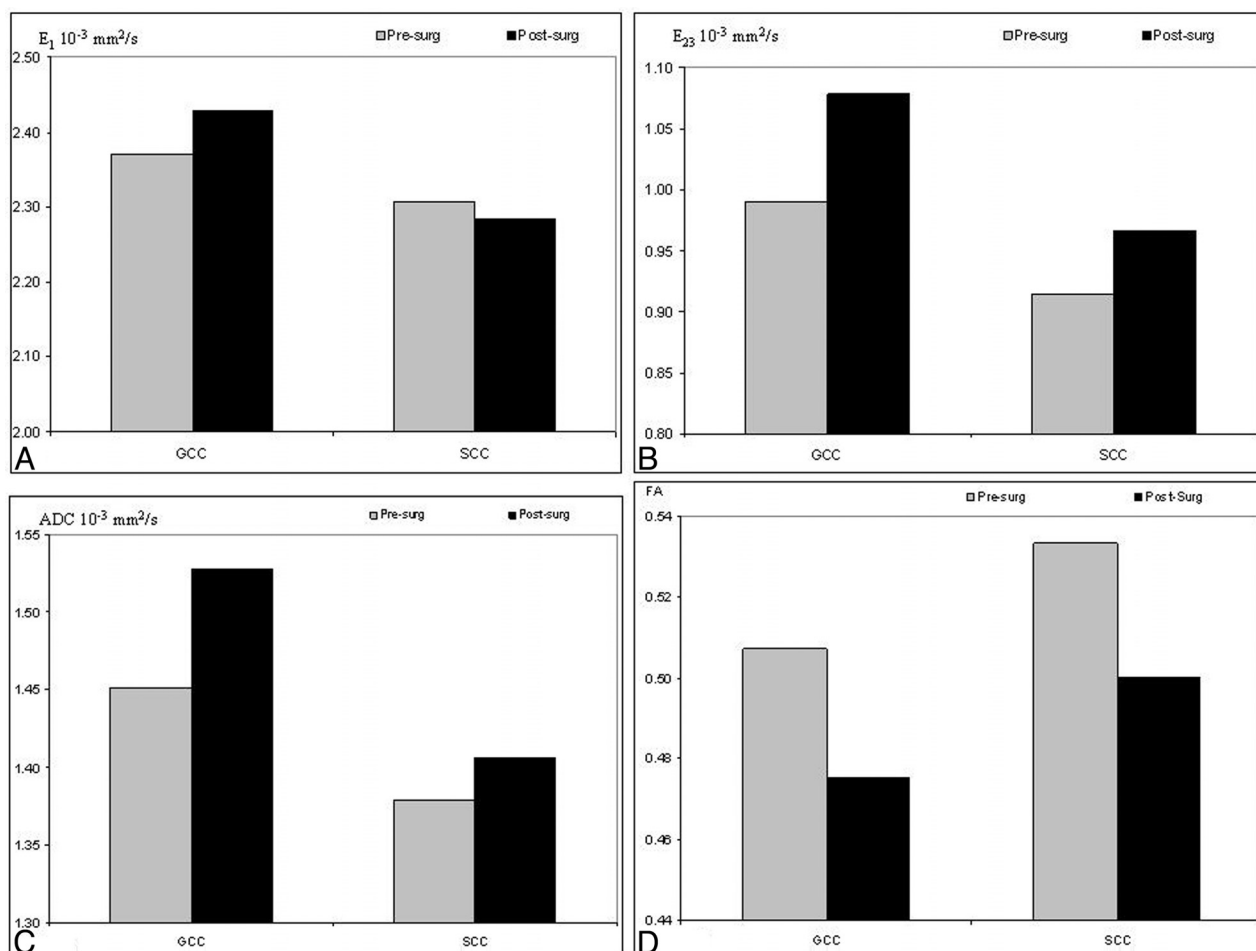


FIG 4. Estimated marginal means corrected for postconceptional age of parallel diffusion, E_1 (A); perpendicular diffusion, E_{23} (B); ADC (C); and FA (D) of the postsurgical (postsurg) and presurgical (presurg) groups. DTI in the genu of the CC and splenium of the CC in the postsurg group reveals higher E_{23} , higher ADC, and lower FA than those in the presurg group. The E_1 is higher in the genu of the CC and lower in the splenium of the CC of the postsurg compared with the presurg group, but these differences are significant.

in both structures of the CC. However, one would expect an opposite pattern of change in the normally developing brain.

Our findings are clinically important and support the hypothesis that neonates with severe CHD already have delayed brain development before surgery.^{12,13} Most important, we could show that the genu of the CC is particularly affected or may serve as a marker for this maturational delay. Furthermore, instead of a progression of maturation with a decrease in ADC and increase in FA values, the period around the surgery was associated with a reverse development. It is not clear whether this is a transient process without clinical relevance. The neurodevelopmental profiles in such neonates are similar to those in premature neonates and may play an important role in planning an in utero cardiac intervention. However, our postsurgical findings suggest that brain substrate is more important in long-term outcomes than surgical intervention techniques.

Recent DTI studies in the CC of infants²⁷ and adults²⁸ showed that FA, ADC, E_1 , and E_{23} varied among regions. Anisotropy was highest in the splenium of the CC followed by the genu of the CC and lowest in the callosal body. The ADC values showed the opposite pattern, being highest in the body, followed by the splenium of the CC, and lowest in the genu of the CC. Aboitiz et al²⁹

demonstrated an increase in the absolute fiber attenuation from the genu of the CC to the splenium of the CC and a decrease toward the posterior midbrain and in the most posterior part of the splenium. It has been shown that in healthy subjects, the variation in FA was correlated with axon attenuation, diameter, demyelination, or less densely packed thin fibers.³⁰ The observed decreases in FA that were accompanied by increased E_{23} in patients with d-TGA compared with HC support the hypothesis that differences in myelination account for those changes, which might be related to the microstructural organizational differences of the anterior CC.³¹ The amplified decreases in FA detected in this genu of the CC indicate a disturbance of developing fibers that project through these WM areas and may arise from the severe astrocyte hypertrophy²³ detected in this structure in these patients. Astrocyte hypertrophy and oligodendrocyte death accompanying the myelin deficit have been shown to play a crucial role in the amplification of water diffusion coefficients in animal models because cytoplasm extensions of astrocytes follow the axonal pathway longitudinally.²⁴

In the splenium of the CC, there was a lower FA value in the presurgery patients than the HC group. However, no significant difference was detected between the HC group and the postsur-

gery MR imaging, suggestive of either brain plasticity or fast remyelination of the fibers. Further investigations are required to determine whether these changes are the consequences of the surgical procedure or normal brain development. With regard to parallel diffusion (E_1), our study also showed a mild nonsignificant increase in patients compared with HC, which might be the consequence of neurofibril destruction,³¹ fiber coherence,³² and axonal injury.³³ Therefore, it is likely that changes in parallel diffusivity reflect complex interactions of multiple biologic factors that drive it in different directions. In addition, we found disruption of the microstructural organization of WM in the genu as reflected by higher E_{23} in patients with d-TGA than in HC. Reduction of E_{23} during WM maturation was attributed to myelination because this modulates the diffusion anisotropy originating from cell membranes by creating an additional barrier.¹⁷ Modifications of the intracellular or extracellular spaces and the abnormalities contributed by astrocytic activation may influence the perpendicular component of water diffusivity. The disruption of the myelin sheath has been found to increase perpendicular diffusion without affecting parallel diffusion. Furthermore, we observed an increase in both ADC and E_{23} in the genu of the CC of patients with d-TGA, both before and after surgery, suggesting that the anterior callosal WM is less mature in these patients than in HC neonates. The lack of microstructural integrity of the anterior interhemispheric connectivity in neonates with CHD is most likely due to impaired or delayed myelination or premyelination. The effects of WM prematurity within the CC have been studied with DTI in adolescents and infants.^{21,34} Very preterm infants had reduced anisotropy and increased ADC, E_1 , and E_{23} in the posterior interhemispheric tracts.²³

Considering that in the CC there is a posterior-to-anterior gradient of myelination, the late developing anterior regions may be more vulnerable to growth deficits in neonates with d-TGA. The microstructural development of the splenium, the early myelinating part of the CC, seems normally developed before and after surgery. Absolute fiber attenuation decreases from the genu to the posterior midbody of the CC, increases toward the splenium, and is reduced again in the most posterior part of the splenium.³¹ Within the CC, the clearest diffusion changes seen in the genu were increases of ADC and E_{23} , indicating less mature WM and possibly delayed or disrupted myelination of the WM connecting the hemispheres of the prefrontal cortex involved in planning and cognition. It also demonstrates that the insult in the CC was more pronounced in the late myelinated region, and this suggests that it could start later in pregnancy rather than at an early stage (18 weeks' gestational age).

Limitations

The first limitation of our study is the rather small sample size (15 patients and 11 healthy controls). Recruiting and scanning these neonates in natural sleep before and after CPB are complicated procedures that require combined methodologic effort from the radiologist, cardiologist, surgeon, and neonatologist. The MR imaging protocol was optimized and shortened for the comfort of these extremely sick neonates; nevertheless, quite often they failed to finish either the pre- or postsurgical DTI, resulting in a reduced sample size. The second main issue was the image artifacts and

geometric distortion, which are more pronounced around the cortical areas compared with midbrain at the level of the CC; thus, we processed the raw data.

CONCLUSIONS

There is evidence of abnormal microstructural development in the genu of the CC of infants before and after surgery for transposition of the great artery. Disrupted development of the genu may have implications for other frontal structures that develop in association with the anterior fibers. It is likely that there are fewer oligodendrocytes in the CC of patients with CHD, which would explain the increased ADC and E_{23} , which occur simultaneously with decreased FA. Together, all these differences in the genu of the CC rather than the splenium of the CC of neonates with CHD indicate that WM microstructure is more immature than that of HC neonates, and it is likely an ongoing process started at the fetal level and seems not affected by the surgical procedure. The lack of a significant difference in E_1 in the presence of E_{23} alterations between HC neonates and patients with CHD would imply that the reduced microstructural integrity evident in pre- and postsurgical DTI is most likely due to impaired or delayed myelination or premyelination. These early changes in the genu of the CC may lead to higher rates of cognitive and motor impairment in infants with CHD.

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